

FORM PTO-1449

Docket Number (Optional)  
P17145Application Number  
10/712,207INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Applicant  
Shah, et al.Filing Date  
November 12, 2003Group Art Unit  
2661

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE
AS	5	6	5	5	1	4	8	8/5/97	Richman, et al.			
AS	6	0	0	9	4	8	0	12/28/99	Pleso			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation	
												YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AS	PCT/US2004/036757 Invitation to Pay Additional Fees, with partial prior art search; mailed 4-29-2005
AS	Wolthusen, Stephen. "Goalkeeper: Close-In Interface Protection," Proc. 19 <sup>th</sup> Computer Security Applications Conference (ACSAC 2003), 8 pp.
AS	IBM Technical Disclosure Bulletin, "Horizontally Offset CRT for Direct Current-Coupled Resonant Flyback Deflection Circuits," Vol. 38, No. 10, October 1995; pp 441 - 444.
AS	IBM Technical Disclosure Bulletin, "Hardware Contention Serialization Algorithm," Vol. 38, No. 4, April 1995; pp. 73 - 77.

EXAMINER /Abdou Seye/

DATE CONSIDERED

02/10/2007

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10712207
Filing Date		2003-11-12
First Named Inventor	R. SHAH et al.	
Art Unit	2194	
Examiner Name	William D. Thompson	
Attorney Docket Number	P17145	

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**INFORMATION DISCLOSURE  
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Application Number	10712207
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First Named Inventor	R. SHAH et al.
Art Unit	2194
Examiner Name	William D. Thompson
Attorney Docket Number	P17145

AS	1	PCT International Preliminary Report on Patentability (IPRP) and Written Opinion, May 26, 2006, for International Application No. PCT/US2004036757.	<input type="checkbox"/>
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If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE**

Examiner Signature	/Abdou Seye/	Date Considered	02/17/2007
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/David Victor/	Date (YYYY-MM-DD)	2006-08-24
Name/Print	David W. Victor	Registration Number	39,867

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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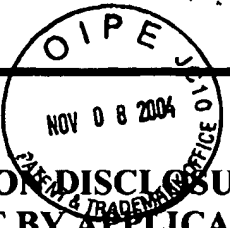
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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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77.34				<b>Applicant</b> Shah, et al.		<b>Group Art Unit</b> 2661																																																																															
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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>													
AS	PCT/US2004/036757 International Search Report & Written Opinion mailed 6-30-05												

<b>EXAMINER</b>	/Abdou Seye/	<b>DATE CONSIDERED</b>	02/10/2007
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Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/712,207
		Filing Date	November 12, 2003
		First Named Inventor	R. Shah et al.
		Art Unit	2661
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	PI7145
Page	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
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AS		GLOGIC, "iSCSI Terminology: TCP/IP", <i>Technology Brief</i> , March 20, 2003, pp. 1-2.	
AS		SATRAN, J., K. METH, C. SAPUNTZAKIS, M. CHADALAPAKA, & E. ZEIDNER, "iSCSI", <i>Internet Draft</i> , Internet Engineering Task Force (IETF)- IP Storage Working Group, January 19, 2003, pp. 1-238.	

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